

February 2021

Corporate responsibility

Tabula is committed to the highest ethical and professional standards. As our business grows, we want to ensure that sustainability and good governance are embedded in everything we do. We have set up a Corporate Responsibility Committee with representation from across the company, including the CEO, CFO and CIO. This Committee meets twice a month and is responsible for defining and implementing our approach across three key areas:

How we manage investments. Tabula became a signatory to the UN-supported Principles for Responsible Investment in May 2020 and is committed to implementing those principles. See our Responsible Investment Policy for more information.

How we run our company. We aim to reduce the negative impacts of our day-to-day operations as far as possible. This includes minimising travel, offsetting essential travel through Carbon Footprint Ltd and improving the recycling facilities and energy efficiency in our offices. We are also actively committed to building a diverse workforce and creating a working environment that promotes diversity and inclusion in all its forms.

How we interact with the community. Tabula is proud to support Centrepont, a charity providing homeless young people with accommodation, health support and life skills.

Responsible investing

Tabula became a signatory to the UN-supported Principles for Responsible Investment (PRI) in May 2020. As a provider of passive fixed income funds, we do not have equity voting rights and have limited ability to include or exclude specific securities or exposures. Despite these constraints, we are committed to incorporating ESG issues and being responsible asset owners.

For new funds, we now incorporate ESG considerations in our product approval process. In particular, we work with index providers to ensure that any new indices referenced in our funds exclude issuers violating global standards such as the UN Global Compact.

For existing funds, we monitor our bond and derivatives exposures to identify issuers involved in environmental, social or governance controversies, particularly those in violation of UNGC principles. Where we identify such issuers, we aim to 1) engage with index providers to discuss exclusion or reweighting and 2) participate in collaborative engagements with other investors (see below under Stewardship and engagement). In 2021, we also plan to implement UNGC screening for fund collateral such as government bonds.

We will continue to develop and enhance this policy in line with the PRI and expect to publish our first Responsible Investment report in 2021.

Stewardship and engagement

Tabula is a provider of passive fixed income funds that take exposure via derivatives and bonds. As such, we do not have equity voting rights. However, we aim to be responsible asset owners by engaging with index providers and participating in collaborative engagements with other investors.

To maximise our impact, we focus primarily on

1) Issuers that are in violation of UNGC principles. To this end, we are actively engaging with index providers on excluding certain issuers from benchmark indices.

2) Climate change. Tabula is a member of the Institutional Investors Group on Climate Change and a signatory to Climate Action 100+. We are actively involved with collaborative engagements via both these organisations and aim to further the objectives of both the Paris Agreement and the Task Force on Climate-Related Financial Disclosures.

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About Tabula

Tabula is an asset manager and ETF provider focused on differentiated fixed income strategies. Our ETFs provide unique solutions in the fixed income space, and help investors achieve greater control of investment risks and rewards.

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